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TO ALL WHOM IT MAY CONCERN:

Be it known that We, Simon Lok and Steven Keith Feiner, citizens of the United States, whose post office addresses are 164-38 85th St., Howard Beach, NY 11414, and 90 Morningside Drive, New York, NY 10027, respectively, have made an invention entitled

**DISTRIBUTED COMPUTER SYSTEM USING A GRAPHICAL USER  
INTERFACE TOOLKIT**

of which the following is a

**SPECIFICATION**

**CROSS-REFERENCE TO RELATED APPLICATION**

**[0001]** This application claims priority to U.S. Provisional Patent Application Serial No. 60/210,643, filed on June 9, 2000, entitled "Method and System to Support Rich User Interfaces on Light Clients," and U.S. Provisional Patent Application Serial No. 60/277,498, filed on March 21, 2001, entitled "Thin Client Graphical User Interface Toolkit," both of which are hereby incorporated by reference in their entirety herein.

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STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR  
DEVELOPMENT

[0002] This application was supported in part by NSF Grant IIS-98-17434.

REFERENCE TO COMPUTER PROGRAM LISTING

**[0003]** A computer program listing is appended hereto on a compact disc, and all material on said compact disc is hereby incorporated by reference in its entirety herein.

More particularly, the compact disc contains at least the following files (including file size in bytes, date of creation of CD, and file name) as stored in the following directories:

These files are associated with the code generation doclet, which reads in components of the baseline user interface toolkit (JFC) and generates the code of remote-capable components of the remote-capable user interface toolkit (RJFC), in which the remote-capable component is created which differs from its baseline counterpart in that it issues a remote message to perform the function.

Directory /codegen:

538	Jun	8	2001	doclet_no_rekurs.pl
837	Jun	8	2001	doclet.pl
568	Jun	8	2001	doclet_public_check.pl
963	Jun	8	2001	event_doclet.pl
4548	Jun	8	2001	factory_doclet.pl
611	Jun	8	2001	make
12475	Jun	8	2001	makefile
1047	Jun	8	2001	makerjfc
70	Jun	8	2001	rundoclet

These files are associated with the *RJFCFactory*, which is code that defines what elements the application can cause to be displayed on the client.

Directory /rjfc:

2048	Jun	8	2001	awt
2048	Jun	8	2001	doc
10240	Jun	8	2001	event
263	Jun	8	2001	RClient.java
1331	Jun	8	2001	RComponentFactory.java
397	Jun	8	2001	RJFCApplication.java
11429	Jun	8	2001	RJFCBorderFactoryImpl.java
4913	Jun	8	2001	RJFCBorderFactory.java
1101	Jun	8	2001	RJFCColorChooserFactoryImpl.java
442	Jun	8	2001	RJFCColorChooserFactory.java
205	Jun	8	2001	RJFCConstants.java
79247	Jun	8	2001	RJFCFactoryImpl.java
32602	Jun	8	2001	RJFCFactory.java
330	Jun	8	2001	RJFCFileChooserFactoryImpl.java
140	Jun	8	2001	RJFCFileChooserFactory.java
96	Jun	8	2001	RJFCImdpFactory.java
164	Jun	8	2001	RJFCPainter.java
43323	Jun	8	2001	RJFCPlafFactoryImpl.java
16617	Jun	8	2001	RJFCPlafFactory.java
3229	Jun	8	2001	RJFCServer.java
5302	Jun	8	2001	RJFCTableFactoryImpl.java
2167	Jun	8	2001	RJFCTableFactory.java
26885	Jun	8	2001	RJFCTextFactoryImpl.java
11381	Jun	8	2001	RJFCTextFactory.java
5959	Jun	8	2001	RJFCTreeFactoryImpl.java
2588	Jun	8	2001	RJFCTreeFactory.java
3185	Jun	8	2001	RJFCUndoFactoryImpl.java
1250	Jun	8	2001	RJFCUndoFactory.java
695	Jun	8	2001	Server.java
16384	Jun	8	2001	swing

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F0T0000000000000

The following files are remote-capable versions of the JFC components:

Directory /rjfc/awt:

23806	Jun	8	2001	RComponentImpl.java
12886	Jun	8	2001	RComponent.java
9173	Jun	8	2001	RContainerImpl.java
4244	Jun	8	2001	RContainer.java
3482	Jun	8	2001	RDialogImpl.java
1049	Jun	8	2001	RDialog.java
3273	Jun	8	2001	RFrameImpl.java
2473	Jun	8	2001	RFrame.java
4766	Jun	8	2001	RWindowImpl.java
2114	Jun	8	2001	RWindow.java

Directory /rjfc/swing:

2048	Jun	8	2001	border
2048	Jun	8	2001	colorchooser
4096	Jun	8	2001	event
2048	Jun	8	2001	filechooser
8192	Jun	8	2001	plaf
2614	Jun	8	2001	RAbstractActionImpl.java
1201	Jun	8	2001	RAbstractAction.java
10078	Jun	8	2001	RAbstractButtonImpl.java
7383	Jun	8	2001	RAbstractButton.java
2303	Jun	8	2001	RAbstractCellEditorImpl.java
1156	Jun	8	2001	RAbstractCellEditor.java
1883	Jun	8	2001	RAbstractListModelImpl.java
957	Jun	8	2001	RAbstractListModel.java
2549	Jun	8	2001	RActionMapImpl.java
1223	Jun	8	2001	RActionMap.java
8891	Jun	8	2001	RBorderFactoryImpl.java
3996	Jun	8	2001	RBorderFactory.java
3593	Jun	8	2001	RBoxImpl.java
1359	Jun	8	2001	RBox.java
3556	Jun	8	2001	RBoxLayoutImpl.java
1871	Jun	8	2001	RBoxLayout.java
2309	Jun	8	2001	RButtonGroupImpl.java

1155	Jun	8	2001	RButtonGroup.java
2503	Jun	8	2001	RCellRendererPaneImpl.java
1379	Jun	8	2001	RCellRendererPane.java
1280	Jun	8	2001	RClientJPanel.java
1973	Jun	8	2001	RComponentInputMapImpl.java
906	Jun	8	2001	RComponentInputMap.java
12179	Jun	8	2001	RDebugGraphicsImpl.java
6613	Jun	8	2001	RDebugGraphics.java
3794	Jun	8	2001	RDefaultBoundedRangeModelImpl.java
1692	Jun	8	2001	RDefaultBoundedRangeModel.java
5132	Jun	8	2001	RDefaultButtonModelImpl.java
2809	Jun	8	2001	RDefaultButtonModel.java
3996	Jun	8	2001	RDefaultCellEditorImpl.java
1526	Jun	8	2001	RDefaultCellEditor.java
2721	Jun	8	2001	RDefaultComboBoxModelImpl.java
1197	Jun	8	2001	RDefaultComboBoxModel.java
4651	Jun	8	2001	RDefaultDesktopManagerImpl.java
2389	Jun	8	2001	RDefaultDesktopManager.java
3824	Jun	8	2001	RDefaultFocusManagerImpl.java
1693	Jun	8	2001	RDefaultFocusManager.java
3903	Jun	8	2001	RDefaultListCellRendererImpl.java
2026	Jun	8	2001	RDefaultListCellRenderer.java
5282	Jun	8	2001	RDefaultListModelImpl.java
2616	Jun	8	2001	RDefaultListModel.java
5309	Jun	8	2001	RDefaultListSelectionModelImpl.java
2509	Jun	8	2001	RDefaultListSelectionModel.java
2594	Jun	8	2001	RDefaultSingleSelectionModelImpl.java
1230	Jun	8	2001	RDefaultSingleSelectionModel.java
2684	Jun	8	2001	RFocusManagerImpl.java
1436	Jun	8	2001	RFocusManager.java
3610	Jun	8	2001	RGrayFilterImpl.java
1983	Jun	8	2001	RGrayFilter.java
4344	Jun	8	2001	RImageIconImpl.java
1435	Jun	8	2001	RImageIcon.java
2749	Jun	8	2001	RInputMapImpl.java
1318	Jun	8	2001	RInputMap.java

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6187	Jun	8	2001	RJMenuBarImpl.java
2843	Jun	8	2001	RJMenuBar.java
9045	Jun	8	2001	RJMenuImpl.java
5566	Jun	8	2001	RJMenuItemImpl.java
2450	Jun	8	2001	RJMenuItem.java
3816	Jun	8	2001	RJMenu.java
10360	Jun	8	2001	RJOptionPane.java
3951	Jun	8	2001	RJTextPaneImpl.java
2062	Jun	8	2001	RJTextPane.java

## Directory /rjfc/swing/border:

2892	Jun	8	2001	RAbstractBorderImpl.java
1483	Jun	8	2001	RAbstractBorder.java
4139	Jun	8	2001	RBevelBorderImpl.java
1842	Jun	8	2001	RBevelBorder.java
2394	Jun	8	2001	RCompoundBorderImpl.java
1097	Jun	8	2001	RCompoundBorder.java
2175	Jun	8	2001	REmptyBorderImpl.java
1001	Jun	8	2001	REmptyBorder.java
3252	Jun	8	2001	REtchedBorderImpl.java
1452	Jun	8	2001	REtchedBorder.java
2944	Jun	8	2001	RLineBorderImpl.java
1268	Jun	8	2001	RLineBorder.java
3211	Jun	8	2001	RMatteBorderImpl.java
1124	Jun	8	2001	RMatteBorder.java
2223	Jun	8	2001	RSoftBevelBorderImpl.java
804	Jun	8	2001	RSoftBevelBorder.java
5170	Jun	8	2001	RTitledBorderImpl.java
2687	Jun	8	2001	RTitledBorder.java

## Directory /rjfc/swing/colorchooser:

2383	Jun	8	2001	RAbstractColorChooserPanelImpl.java
1175	Jun	8	2001	RAbstractColorChooserPanel.java
1893	Jun	8	2001	RColorChooserComponentFactoryImpl.java
907	Jun	8	2001	RColorChooserComponentFactory.java
2347	Jun	8	2001	RDefaultColorSelectionModelImpl.java

```
1061 Jun 8 2001 RDefaultColorSelectionModel.java
```

Directory /rjfc/swing/event:

2950	Jun	8	2001	RAncessorEventImpl.java
2648	Jun	8	2001	RAncessorEvent.java
1574	Jun	8	2001	RCaretEventImpl.java
750	Jun	8	2001	RCaretEvent.java
1408	Jun	8	2001	RChangeEventImpl.java
664	Jun	8	2001	RChangeEvent.java
2255	Jun	8	2001	REventListenerListImpl.java
1120	Jun	8	2001	REventListenerList.java
2318	Jun	8	2001	RHyperlinkEventImpl.java
889	Jun	8	2001	RHyperlinkEvent.java
2979	Jun	8	2001	RInternalFrameAdapterImpl.java
1519	Jun	8	2001	RInternalFrameAdapter.java
2209	Jun	8	2001	RInternalFrameEventImpl.java
3207	Jun	8	2001	RInternalFrameEvent.java
1857	Jun	8	2001	RListDataEventImpl.java
1037	Jun	8	2001	RListDataEvent.java
2020	Jun	8	2001	RListSelectionEventImpl.java
864	Jun	8	2001	RListSelectionEvent.java
4924	Jun	8	2001	RMenuDragMouseEventImpl.java
5013	Jun	8	2001	RMenuDragMouseEvent.java
1380	Jun	8	2001	RMenuEventImpl.java
654	Jun	8	2001	RMenuEvent.java
5040	Jun	8	2001	RMenuKeyEventImpl.java
15942	Jun	8	2001	RMenuKeyEvent.java
2226	Jun	8	2001	RMouseInputAdapterImpl.java
1212	Jun	8	2001	RMouseInputAdapter.java
1450	Jun	8	2001	RPopupMenuEventImpl.java
679	Jun	8	2001	RPopupMenuEvent.java
3343	Jun	8	2001	RSwingPropertyChangeSupportImpl.java
1741	Jun	8	2001	RSwingPropertyChangeSupport.java
1864	Jun	8	2001	RTableColumnModelEventImpl.java
814	Jun	8	2001	RTableColumnModelEvent.java
2974	Jun	8	2001	RTableModelEventImpl.java



1180	Jun	8	2001	RTableModelEvent.java
1941	Jun	8	2001	RTreeExpansionEventImpl.java
788	Jun	8	2001	RTreeExpansionEvent.java
3186	Jun	8	2001	RTreeModelEventImpl.java
954	Jun	8	2001	RTreeModelEvent.java
4389	Jun	8	2001	RTreeSelectionEventImpl.java
1396	Jun	8	2001	RTreeSelectionEvent.java
1709	Jun	8	2001	RUndoableEditEventImpl.java
766	Jun	8	2001	RUndoableEditEvent.java

Directory /rjfc/swing/filechooser:

1491	Jun	8	2001	RFileFilterImpl.java
743	Jun	8	2001	RFileFilter.java
3213	Jun	8	2001	RFileSystemViewImpl.java
1513	Jun	8	2001	RFileSystemView.java
2007	Jun	8	2001	RFileViewImpl.java
1010	Jun	8	2001	RFileView.java

*The following are applications that use the remote-capable components:*

Directory /servers:

3296	Jun	8	2001	ButtonServer.java
16032	Jun	8	2001	NotepadServer.java
12183	Jun	8	2001	SheetServer.java
6727	Jun	8	2001	WebBrowse.java

*These files include the viewer, which is the vehicle through which the Remote-capable user interface toolkit application displays its output.*

Directory /viewer:

82	Jun	8	2001	policy
7290	Jun	8	2001	Viewer.java

## BACKGROUND OF THE INVENTION

[0004] This invention relates to computer systems using distributed user interfaces, and more particularly, to distributed user interfaces using user interface toolkits.

[0005] Many approaches have been researched academically and deployed commercially to support a distributed computing paradigm in which the network separates the presentation of the user interface from the application logic. Two approaches are commonly used in both industry and academia: web-based and remote frame-buffer-based. A third approach, distributed user interface toolkits, provides additional advantages, but still has significant drawbacks.

[0006] The first approach to distributed computing is one of the most widely deployed approaches to thin-client computing, and uses HyperText Transfer Protocol (HTTP) (See T. Berners-Lee et al., "Hypertext transfer protocol" - HTTP/1.0 RFC1945, 1996) and HyperText Markup Language (HTML) (See T. Berners-Lee et al., "Hypertext markup language" - 2.0 RFC1866, 1995 and D. Conolly et al., "The text/html media type," RFC2854, 2000) for the client with the server, commonly known as the world wide web. The architecture of an application developed using a web-based methodology is depicted in FIG. 1. As illustrated in FIG. 1, a server 10 is in communication with a client 12 over a network. The application logic 14 and the web server 16 reside on the server 10. A special web application programmer interface 18 (API) is provided to allow the application to communicate with the web server. Typical web API's are CGI (See "The Common Gateway Interface." <http://hoohoo.ncsa.uiuc.edu/cgi/overview.html>), ISAP (See

<http://msdn.microsoft.com/library/psdk/iisref/isgu9kqf.htm>), NSAPI (See NSAPI FAQ. <http://developer.netscape.com/support/faqs/champions/nsapi.html>), ASP (See "An ASP you can grasp: The ABCs of active server pages."

[0007] One severe limitation of a web-based approach using HTTP/HTML is the “pull-only” data transfer methodology, which prevents the application from generating events. For example, when a user executes a search on a web search engine, the engine must ideally complete the search in its entirety within a few seconds of the request because the user is expecting an immediate response. After the initial page has been displayed, the web search engine cannot notify the user that better results have been found. A second problem is that HTTP is stateless, which makes it difficult for programmers to create even a simplistic notion of persistence between page accesses. In addition, the user interaction is also extremely limited, providing only a handful of the most commonly used interactive functions.

[0008] Many attempts have been made to address these problems, including sending entire applications over HTTP (e.g., JAVA™ applets. See JAVA™ Applets. <http://java.sun.com/applets>), designing browser “plug-ins” that interpret their own language to provide a richer user experience (e.g., Macromedia Flash and Shockwave See Macromedia, Inc. <http://www.macromedia.com>), creating a 3D world in which the user can navigate (e.g., VRML), and providing an application programmer interface (API) for storing persistent session identification data (e.g., cookies. See D. Kristol et al., "HTTP state management mechanism," RFC2109, 1997). All these approaches to addressing the problems with HTTP/HTML create new problems.

[0009] JAVA™ applets raise numerous security concerns because HTTP is used to transport executable code to the client. Although the byte codes transmitted across the network are in compiled form, JAVA™ decompilers are readily available that will allow any user to have access to the source code of the application. In addition, the use of JAVA™ applets typically violates the thin-client principle of not running any application logic on the client. Flash and VRML define richer languages that have been built with user interactivity in mind, but suffer from the problem that mature browsers for anything other than the Microsoft Windows desktop operating systems are generally not available. HTTP cookies raise numerous security concerns because they permit the server program to write data to the permanent storage device on the client. In addition, HTTP cookies have been the target of severe criticism due to a recent surge in public awareness regarding privacy concerns when using the Internet. These issues make HTTP cookies an unattractive method for programmers to add server-side state to the HTTP protocol.

[0010] A second approach to distributed computing involves creating a remote virtual frame buffer on the server, on which the application can draw, and then transporting the resulting raster image to the client. In essence, this approach attempts to bring the server's desktop to the user and thereby permits a full range of user interactivity. Products such as CITRIX™ METAFRAME™ (See <http://www.citrix.com/products/metaframe/>), INSIGNIA SOLUTIONS™ NTRIGUE™ (See <http://www.insignia.com>), SCO TARANTELLA™ (See <http://www.tarantella.sco.com>), GRAPHON™ RapidX (See <http://www.graphon.com>) and SYMANTEC™ PCANYWHERE™ (See <http://www.symantec.com>) are among those that have been providing this type of functionality for many years as an extension to the underlying operating system. A recent explosion in the popularity of this approach occurred when AT&T released their cross-platform VNC system to the public free of charge. (See Q. Li et al., "Integrating Synchronous and Asynchronous Collaboration with VNC," *IEEE Internet Computing*, 4(3):26-33, May-Jun 2000.) Microsoft has now made this capability a standard part of their Windows 2000 operating system (See <http://www.microsoft.com/windows2000/technologies/terminal/default.asp>).

[0011] The architecture of a remote frame buffer based application is illustrated in FIG. 2 for transmission between a server 24 and client 26. The application 28 is typically written using a standard user interface toolkit API 30, such as JAVA™ Foundation Class (hereinafter "JFC") (See "JAVA™ Foundation Classes: Now and the Future" <http://java.sun.com/products/jfc/whitepaper.html>), Microsoft Foundation Class (See *Microsoft Visual C++ MFC Library Reference*. Microsoft Press, Redmond, WA, 1997),

Tk (See J. Ousterhout. *Tcl and the Toolkit*. Addison-Wesley, 1994), or MOTIF (See *Modular Toolkit Environment*. IEEE 1295), and renders onto a remote virtual frame buffer 32. The resulting pixel data 34 is transported across the network using a proprietary protocol, such as ICA (See Citrix Metaframe.

<http://www.citrix.com/products/metaframe>), RFB (See "Microsoft Windows 2000 Terminal Services."

<http://www.microsoft.com/windows2000/guide/server/features/terminalsvs.asp>), or RDP (See T. Ricardson et al., "Virtual network computing," *IEEE Internet Computing*, 2(1):33-38, Jan-Feb 1998) to the client 26. The client viewer 36 receives the pixels and reconstructs the image, and then copies the image onto the client frame buffer 38 for presentation on the client's display.

**[0012]** Although the remote frame buffer approach addresses many of the problems with a web-based approach that uses HTTP/HTML, it also introduces a number of other problems. Whereas the web-based approach using HTTP/HTML is capable of operating reasonably well over relatively low-bandwidth modem network links, the remote frame buffer approach demands high-bandwidth connections. This is because the remote frame buffer approach is essentially sending a video stream of computer-generated graphics from the server to the client.

**[0013]** Although the use of advanced lossy video compression algorithms (e.g. MPEG (See ISO/IEC JTC1/SC2/WG11.MPEG. *ISO*, Sept. 1990)) has been proposed (T. Ricardson et al., "Virtual network computing," *IEEE Internet Computing*, 2(1):33-38, Jan-Feb. 1998), implementation of such techniques may presents several technical difficulties.

For example, real-time compression of MPEG streams usually requires special hardware that can only handle one or two streams at a time, thereby eliminating the possibility of using the remote frame buffer approach on a current shared server. In addition, the use of lossy compression techniques introduces unwanted compression artifacts into the display, reducing the system's usability, particularly when working with text and detailed graphics.

[0014] The existence of server-side state and asynchronous event generation by the server permits the remote frame buffer approach to provide a rich level of user interactivity that a web-based approach using HTTP/HTML cannot. However, there is a practical limitation caused by network latency. For example, such problems may arise in connection with the display of a mouse pointer on a typical client "viewer," i.e., the remote frame buffer analogue of the web browser. Under certain circumstances, the client viewer may display two mouse pointers. One mouse pointer represents where the cursor should be pointing, and is tied to the local mouse. A second mouse pointer, which typically lags behind the first mouse pointer, displays where the mouse position is on the server. When a remote frame buffer system is run on anything other than a high-speed LAN connection (e.g., 100 megabit per second over category 5 cabling), there is always a noticeable difference in position between the client (virtual) and server (real) mouse positions. On a slow modem link (e.g., 56.6 kilobit per second transmission over a standard telephone line), this makes highly interactive user interfaces difficult to control, and, in extreme cases, may even make the system unusable.

[0015] A third approach to distributed computing is distributed user interface toolkits, which address the issues that arise when employing web-based HTTP/HTML and

remote frame buffer approaches by allowing a server to manipulate user interface toolkit components directly on the client. The server can create, modify, and delete any of the components available in the distributed toolkit as if it were working with a local application. This approach is analogous to an implementation of a remote frame buffer with an extremely efficient, lossless compression algorithm. Instead of sending pixel data rendered on the server across the network, the distributed user interface toolkit sends the semantics necessary to render that pixel data on the client. In addition, since the mouse is handled locally on the client, there is no additional perceived latency beyond that caused by the processing that is necessary to service users requests when the application is running locally.

[0016] The current approaches to distributed user interface toolkits have several disadvantages. The X Window System (See R. Scheifler et al., "The X Window System," *ACM Trans. on Graphics*, 5(2):79-109, April 1986), for example, transports low-level drawing commands. If a high-level user interface toolkit is used with X, the high-level user interface toolkit commands (e.g., draw button) are actually translated into low-level commands (e.g., lines and rectangles) before being transmitted across the network. Another disadvantage is that the X Window System stores state on the client computer that is presenting the output to the end user. Consequently, it is very difficult to "share" X Window System sessions between multiple users, and if the X Window System running on the client computer fails, the user session is lost. It is for these reasons that a remote virtual frame buffer system, such as VNC, is often employed to transport an X Window



[0017] There is therefore a need in the art for a distributed user interface that runs the application logic on the server computer but which also allow the server computer to asynchronously generate events and transmit them to the server. There is also a need for a distributed user interface that allows relatively sophisticated graphics without requiring high-bandwidth connections. In addition, there is also a need for a distributed user interface which is easily implemented and does not require the creation of a new protocol of communication.

**[0018]** It is an object of the invention to provide a distributed computer system which is compatible with toolkits of well-known programming languages and implicitly creates a protocol of network communication.

**[0020]** These and other objects of the invention which will become apparent with respect to the disclosure herein, are accomplished by a novel distributed computer system having at least one server and one remote client to execute an application entirely on the server, wherein the application so configured to interact with a user interface toolkit according to an application programming interface. A user interface toolkit is provided,

which resides on the remote client and has at least one component configured to perform a function on the remote client. In an exemplary embodiment, JAVA™ Foundation Class is the user interface toolkit which has a plurality of components known as the Swing component class.

**[0021]** A remote-capable user interface toolkit resides on the server. The remote-capable user interface toolkit has at least one remote-capable component which interfaces with the application according to the same application programming interface as the user interface toolkit and which is configured to generate a message to perform the respective function of the corresponding component in the user interface toolkit in response to an invocation by the application. The remote-capable component is otherwise identical to the component.

**[0022]** The protocol of communication between the remote-capable component of the remote-capable user interface toolkit on the server and the component of the user interface toolkit on the client comprises the transmitting of messages by the remote-capable component invoked by the application.

**[0023]** The component in the user interface toolkit may be configured to render a graphical item and the remote-capable component may be configured to generate a command to render a graphical item. Similarly, the server may be configured to communicate the message to the user interface toolkit on the remote client to render a graphical item in response to the invocation by the application. The component of the user interface toolkit on the remote client may be configured to render the graphical item in response to the message.

[0024] The component in the user interface toolkit may be configured to install an event handler and the remote-capable component may be configured to generate a command to install an event handler. Similarly, the server may be configured to communicate the message to the user interface toolkit on the remote client to install an event handler, and the component of the user interface toolkit on the remote client may be configured to install the event handler in response to the message.

#### BRIEF DESCRIPTION OF THE DRAWINGS

- [0025] FIG. 1 is a simplified block diagram of a prior art system.
- [0026] FIG. 2 is a simplified block diagram of a second prior art system.
- [0027] FIG. 3 is a simplified block diagram of the system in accordance with the invention.
- [0028] FIGS. 4(a) – 4(c) illustrate prior art user interface toolkit components.
- [0029] FIG. 5 illustrates a user interface in accordance with the invention.
- [0030] FIGS. 6(a) – 6(b) illustrate an application as rendered on a client buffer in accordance with the invention.
- [0031] FIG. 7 illustrates another application as rendered on a client buffer in accordance with the invention.
- [0032] FIG. 8 illustrates a further application as rendered on a client buffer in accordance with the invention.
- [0033] FIG. 9(a) illustrates executable code in accordance with the invention.
- [0034] FIG. 9(b) illustrates prior art executable code.

### DETAILED DESCRIPTION OF THE EXEMPLARY EMBODIMENTS

[0035] The architecture of a distributed user interface system 100 in accordance with the invention is illustrated in FIG. 3 and includes a server 102 and a client 104. The application logic 106 resides on the server 102. A novel remote-capable user interface toolkit 108 resides on the server 102 and a baseline user interface toolkit 110 resides on the client 104. As will be described below, the remote-capable user interface toolkit 108 has components which correspond to components in the baseline interface toolkit 110, but which issue remote messages rather than execute graphical functions. These messages are interpreted by a server JAVA™ virtual machine 112 ("server VM") that transmits the commands across the network to the client 104. A client viewer JAVA™ virtual machine 114 ("client viewer") translates the messages issued by the remote-capable user interface toolkit 108 into function calls of the baseline interface toolkit 110, which are rendered on the client frame buffer 116. It is noted that according to another exemplary embodiment, using a programming language other than JAVA™, the system is implemented without a virtual machine.

[0036] The distributed user interface system 100 makes use of visual components (often called widgets or controls) that are gathered together in libraries that are usually referred to as user interface toolkits. The exemplary embodiment utilizes JFC as the baseline graphical user interface toolkit 110. (The JAVA™ language specification, B Joy et al., *The JAVA Language Specification*, Addison Wesley, 2d Ed., 2000 and [http://java.sun.com/docs/books/jls/second\\_edition/html/j.title.doc.html](http://java.sun.com/docs/books/jls/second_edition/html/j.title.doc.html) and JAVA™ virtual machine specification, T. Linde et al., *The Java Virtual Machine Specification*,

Addison Wesley, 2d Ed., 1999 and <http://java.sun.com/docs/books/vmspec/2nd-edition/html/VMSpecTOC.doc.html>, and "JAVA™ Foundation Classes: Now and the Future" <http://java.sun.com/products/jfc/whitepaper.html>, have been incorporated by reference in their entirety herein.) JFC has been utilized in the exemplary embodiment because of its ability to create cross-platform compatible graphical user interfaces. However, it is noted that the system and methods described herein are also compatible with any available toolkit.

**[0037]** A user interface toolkit, as understood in the specification and claims, is computer code which provides an application programming interface that (1) renders at least one graphical component related to user interaction in response to an invocation by the application, and (2) generates an event coupled to the graphical component in response to user interaction with that graphical component. These functions are described in greater detail herein. First, a toolkit has the ability to draw a frequently-used, graphical components on a user display as commanded by an application running on the computer. Each graphical component is concerned with an aspect of user interaction, and therefore visually provides the user with one or more selectable options as well as a manner of making a selection. Typical components in a toolkit draw graphical items such as buttons, scrollbars, menus, text fields, and the like. In rendering the graphical component, the toolkit may include commands to display a plurality of shapes, colors, and text. The toolkit is configured to interact with the application according to an application programming interface. For example, the toolkit receives an invocation, or call, from the application to draw graphical components at certain times during the operation of the

application. In the exemplary embodiment, JFC has a well-defined application programming interface.

**[0038]** It is noted that a toolkit may comprise a single component, such as a button, or it may generate a plurality of multiple components. JFC, for example, provides many components bundled together in a component set referred to as "Swing." (See "The Swing Component Galley"

[http://java.sun.com/products/jfc/tsc/articles/component\\_gallery/index.html](http://java.sun.com/products/jfc/tsc/articles/component_gallery/index.html), which is incorporated by reference in its entirety herein.) Exemplary components of Swing include "JButton," illustrated in FIG. 4(a), "JCheckbox," illustrated in FIG. 4(b), and "JRadioButton," illustrated in FIG. 4(c). JButton is a commonly used component that may be selected, i.e., "clicked," by the user. JCheckbox is an image including a group of items and provides the user with the ability to select or de-select one or more of these items. Similarly, JRadioButton is an image including a group of buttons. In contrast with JCheckbox, JRadioButton allows only one button at a time to be selected. (According to convention, selecting a new button in JRadioButton will simultaneously select the new button and de-select a previously selected button.)

**[0039]** A second, related feature of a toolkit is the ability to generate an event based on a user response, if any, to the component rendered on the user display. The toolkit is thus able to provide a link between (1) the syntax of the user interaction (e.g., typing a character or pressing a mouse button), and (2) the semantics necessary to carry out the function commanded by that user interaction (e.g., closing a text window.) The toolkit includes an event handler that "listens" (i.e., waits), for a specific user interaction to

occur, and then generates an event when that interaction occurs. (Each event may be represented by an object that gives information about the event and identifies the event source.). For example, a button (e.g., JButton), may be configured to wait for the user to click the button (i.e., press a mouse key while positioned over the button). When the user clicks the button, the toolkit generates an event. In this case, the result may be that a toolkit text window is automatically closed when the event listener detects an event triggered by the button component.

**[0040]** It is further noted that the procedures described herein are applicable to a user interface toolkit which "renders" an item to the user which may be graphical, audio, tactile, olfactory or other sensory modality, that may be coupled with the generation of an event in the nature of a user interface.

**[0041]** The toolkit, as described above, interacts with the application according to an application programming interface. In addition to receiving commands to draw graphical items, the toolkit generates events, which are usually associated with components. These events are then conveyed to the application according to the application programming interface, which enables the application to take some action based on the events generated by the user. JFC, as implemented in the exemplary embodiment, interacts with the application according to a well-defined application programming interface from the standpoint of conveying events to the application.

**[0042]** The user interface toolkit provides an abstraction layer for drawing the graphical items and generating events, by using the low-level drawing and interaction routines made available to programmers by the graphics subsystem that is usually bundled

with the operating system. This abstraction allows programmers to quickly create commonly used visual components, such as buttons, scrollbars, menus, and text fields. End users also benefit, since most of the applications they run on a particular operating system will have roughly the same “look and feel” because the applications are all built out of components from the same user interface toolkit.

[0043] The typical implementation of a user interface toolkit, such as JFC, is on a system in which the application logic execution and the user interface presentation occur on a single computer. The tight binding of the user interface toolkit to the underlying graphics subsystem allows this type of implementation. However, the use of the toolkit when creating distributed applications in which the application logic execution and user interface presentation occur on different computers may present significant challenges.

[0044] With continued reference to FIG. 3, the distributed user interface system 100 is configured to work with any toolkit, as described above, which interfaces with application logic 106 and has the capability to draw graphical components and generate or respond to events. The system 100 includes a remote-capable interface toolkit 108, which resides on the server 102. As described above, JFC was used as the baseline user interface toolkit 100 implemented on the client 104, and "Remote JAVA™ Foundation Classes" (RJFC) was created as a remote-capable version of JFC. JFC was selected as a baseline interface toolkit 108 for the exemplary embodiment because of its familiarity to programmers and richness in functionality. JFC API is extremely complex, and includes over 600 individual source files, each providing between 10 to 100 methods for the programmer to use.



[0045] The remote-capable version of the toolkit 108 is a toolkit which appears to the application logic 106 as a local toolkit for drawing graphical components and generating events. However, when invoked by the application logic 106, the remote-capable user interface toolkit issues a remote process invocation, such as JAVA™ RMI, for drawing the graphics or generating events on the remote client 104. More particularly, RJFC has one or more components that are substantially identical to components in the corresponding baseline toolkit 110, JFC. Thus, there is a one-to-one correspondence between JFC components and RJFC components. A significant difference between these components, however, is that a JFC component, when invoked, locally performs a particular function (e.g., it draws a button on the local VM or it generates an event, as described above). In contrast, the corresponding RJFC component is configured to send a message to perform that same function, i.e., drawing a graphical item or generate an event, which is transmitted to the remote client 104. Alternatively, if the RJFC component is an event handler, it is configured to receive a remote signal concerning the occurrence of an event. Thus, the application programming interface of the RJFC 108 tracks the design pattern and functionality of the application programming interface of the standard JFC 110 as closely as possible, with the exception that the presentation displays on a remote client 104 or the event is generated at the remote client 104, rather than on a local frame buffer.

[0046] RJFC components are generated automatically from the JFC source code by a "code generator" application. Since the source code to JFC is readily available, a code generator reads the JFC source and produces a RJFC component for each JFC component. In the exemplary embodiment, a modified version of JAVA™ Doclet has

been used to read the JFC source code in the JAVA™ programming language and to produce RJFC code (also in the JAVA™ programming language) for each respective JFC component. The Doclet is a publicly available tool that was designed to read in source code and automatically generate documentation. In accordance with the invention, the Doclet has been modified to generate source code in the JAVA™ programming language rather than documentation.

[0047] The procedure of using a code generator provides a great degree of automation and flexibility because the components of the remote-capable toolkit 108 do not have to be separately and individually programmed. In addition, the remote-capable toolkit components do not have to be rewritten if the underlying toolkit is modified. This approach may be used to generate different versions of the remote-capable toolkit system for various implementations and releases of the JAVA™ SDK, making it possible to handle a broad range of supported JAVA™ VM's.

[0048] Another advantage of creating the remote-capable toolkit by use of a code generator is that the application programming interface of the remote-capable user interface toolkit 108 is *implicitly* identical to the application programming interface of the baseline interface toolkit 110 which resides on the client 104. Consequently, manipulation of the RJFC components (e.g., changing the text of a label) and association of event handlers by the application logic 106 is syntactically identical to the JFC API. Although each RJFC component has an actual associated JFC component that resides in the client viewer's memory space, the application logic 106 which resides on the server 102 interacts with the remote client 104 by making calls on the RJFC components on the

server 102 alone. Since the actual JFC components that are used to create the display on the client frame buffer are hidden from the application logic 106, the application logic 106 is not modified to operate in the distributed environment. Since RJFC components track the JFC API and follow the Sun JAVA™ Beans standard (See G. Voss "JAVA™ Beans" <http://developer.java.sun.com/developer/onlineTraining/Beans/Beans1/simple-definition.html>), they may also be easily used in graphical user interface builders such as SUN FORTE™ for JAVA™ (See <http://www.sun.com/forte/ffj>), BORLAND™ JUILDER™ (See <http://www.borland.com/jbuilder>) and WEBGAIN™ VISUALCAFE™ (See [http://www.webgain.com/products/visual\\_cafe](http://www.webgain.com/products/visual_cafe)).

**[0049]** The procedure for distributed processing through a server and a remote client proceeds as follows. The application logic 106 is executed entirely in the server 102. The application logic 106 is configured by the programmer to interact with the user interface toolkit according to an application programming interface. A user interface toolkit, as defined above, comprises one or more components that perform several functions: the component may render a graphical item when invoked by an application, and may generate an event in response to a user interaction with that graphical item. In the exemplary embodiment, the baseline user interface toolkit 110 may be JFC, and the components may be the Swing component set.

**[0050]** An early stage in the procedure may be to provide the user interface toolkit 110 on the remote client 104 such that the component is configured to perform the function on the remote client 104. In the exemplary embodiment, the JFC components are

provided on the remote client and are able to render the graphical items on the client frame buffer 116 and generate events at the remote client VM 114.

[0051] A subsequent stage may be to provide a remote-capable user interface toolkit 108 on the server 102. The remote-capable user interface toolkit 108 is provided by creating at least one remote-capable component which is configured to interact with the application logic 106 according to the same application programming interface as the baseline user interface toolkit 110 and which is configured to generate a remote message to the component on the remote client 104 to perform the respective function on the remote client 104. According the exemplary embodiment, the remote-capable user interface toolkit 108 is referred to as RJFC wherein each component of RJFC is syntactically identical to each component in JFC, with the except that the portion of the code in the remote-capable component has been substituted with a portion of code that generates a remote message to the JFC component to perform the same function.

[0052] A next stage in the procedure may be to invoke the remote-capable user interface toolkit 108 by the application logic 106 according to the application programming interface to perform a function. At a subsequent stage, the remote-capable user interface toolkit 108 generates a remote message to perform the function invoked by the application logic 106. Since there is a one-to-one correspondence between the JFC component and the RJFC component, a protocol of communication between the RJFC component and the JFC component is implicitly defined. This protocol of communication comprises the transferring of messages to perform JFC functions, and such messages are issued in the manner in which the JFC toolkit would normally perform functions on a

single computer. Therefore, there is no need to specifically create a protocol of communication.

**[0053]** The message may be communicated between the remote-capable user interface toolkit on the server and the user interface toolkit on the remote client in a subsequent step. In the exemplary embodiment, this communication between the server 102 and the client 104 uses remote method invocation (RMI) (See S. McPherson, "JAVA™ Servlets and Serialization with RMI," <http://developer.java.sun.com/developer/technicalArticles/RMI/rmi/>).

**[0054]** A later stage may be to perform the function on the remote client by the component of the user interface toolkit in response to the message. Thus, when an RJFC component is instantiated, modified, or deleted on the server 102 by the application logic 106, the RJFC toolkit 108 transparently informs the client viewer 114 of the event that has occurred. The client viewer 114 reacts to the message by performing the exact same action on the client viewer 114 that would have occurred on the server 102 if the JFC API were used.

**[0055]** For example, the standard JFC component JButton serves as the basis for the RJFC component RJButton. (Whereas JButton renders a button, RJButton sends a message to remotely render a button.) If the server 102 requests that a new RJButton be created, the RJFC toolkit 108 would generate a message which the server VM 112 transmits to the client viewer 114. The client viewer 114 receives the message and then creates a JButton using the standard JFC API 110, thus causing the actual button to be rendered on the client frame buffer 116. Similarly, when the server 102 installs an event

handler into a RJFC component, the server 102 communicates with the client viewer 114, using RMI, to install a proxy JFC event handler into the associated JFC component that is being displayed on the client frame buffer 116.

[0056] One key performance optimization in the RJFC Protocol is the use of a component-generating object, referred to in the exemplary embodiment as "RJFCFactory," that resides in the client viewer's memory space. RJFCFactory is a piece of code that defines what components the application logic 106 can cause to appear on the client 104. This code for RJFCFactory is automatically generated by the code generator, described above. The code generator reads the JFC source code and creating a remote-capable method for each baseline JFC method. RJFCFactory performs two actions: (1) it creates JFC components in the client viewer's memory space and (2) transmits to the server 102 a reference to RJFCFactory. (In the exemplary embodiment implemented in the JAVA™ programming language, RJFCFactory extends UnicastRemoteObject and implements an interface that extends Remote.) When a client viewer 114 connects to a server 102, the client viewer 114 passes the reference to the RJFCFactory during a display registration method implemented on the server 102. Once the server 102 has received the reference to RJFCFactory, the server 102 can do the following: (1) transmit commands to RJFCFactory to create JFC components that reside in the client viewer's memory space and (2) receive a remote reference to the associated RJFC wrapper object from the client 104. This procedure eliminates the need to create a serialized object in the server's memory space, subsequently send the serialized object to the client 104, and then send a remote reference to the wrapper object back to the server 102. Test measurements show

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that a RMI call as described above consumes approximately five Ethernet packets whereas sending a serialized JButton consumes more than ten times that number.

**[0057]** The protocol for the remote-capable user interface toolkit 108 in accordance with the invention accomplishes event handling using a similar methodology. The following protocol may be followed to allow the client 104 to transmit client-generated events to the server 102: If an event handler is installed into a RJFC component on the server 102, the server 102 may transmit a simple message to the client viewer 114, using RMI, that tells the client viewer 114 to install a proxy event handler in the associated JFC component. The proxy event handler on the client 104 makes a call to the server 102 whenever a new event is generated on the client side. The actual semantics of the event handler, as defined by the application logic 106, is executed on the server 102 when the server 102 receives the RMI call from the client 104. Similarly, the following protocol may be followed for transmitting server-generated events to the client 104: The server 102 retains the reference to the RJFC component returned by the RJFCFactory after the display initialization is completed. When the server 102 generates events, it transmits a command to the client 104 with a remote reference to the RJFC component. This protocol enables the server 102 to asynchronously generate events at will, i.e., without requests from the user at the remote client.

**[0058]** An exemplary RJFC viewer 200, as illustrated in FIG. 5, provides a context in which the application logic 106 which resides on the server 102 can manipulate the client frame buffer 116. The viewer is an application, which may be hand-coded, that uses the baseline interface toolkit 110, e.g., JFC, and emulates the functionality found in a

typical thin-client system. The user of the system invokes the client viewer 114, at which point a JFrame window 202 is created with a form 204 that allows the user to connect to a server 102. Once a connection is established, a second JFrame window 206 is created for the server 102 to manipulate remotely. The server 102 may also request that additional windows be created by asking for dialogue boxes using the RJFC API. FIGS. 6-8 illustrate several small applications being run in the client viewer 114. FIGS. 6(a)-6(b) illustrate a "notepad" application 210 being run on the client viewer 114 as rendered by the JFC toolkit 110 in response to commands from the RJFC toolkit 108 residing on the server 102 as described herein. The notepad application 210 implements several of the JFC Swing components, such as JButton 212, JScrollPane 214, JPopupMenu 216, and JOptionPane 218.

[0059] Similarly, FIG. 7 illustrates a simple web browser application 230 which conducts searches for web pages in response to user requests, as is well known in the art. As described above, the invention provides the capability to transmit server-generated events to the client 104: In the exemplary embodiment, RJFCFactory object resides on the client 104, and it sends an RJFCFactory reference to the server 102 during the display initialization. The server 102 retains this reference to the RJFC component. When the server 102 generates events, it transmits a command to the client 104 with a remote reference to the RJFC component. This protocol enables the server 102 to asynchronously generate events at will, i.e., without requests from the user at the remote client. As illustrated in FIG. 7, the application may be a web browser. The protocol according to the invention provides a substantial benefit over the HTTP/HTML web browser applications.



**[0060]** FIG. 8 illustrates a simple spreadsheet application 240, each of which is rendered on the client buffer 116 in response to commands generated by the RJFC toolkit 118 in accordance with the invention.

[0061] An example of code for creating a simple "notepad" application written using the RJFC API is shown in FIG. 9(a), and a baseline, i.e., non-network-aware version of the code in JFC API is shown in FIG. 9(b). The code generator was configured such that the resulting RJFC API has a one-to-one correspondence to JFC components. In the exemplary embodiment, a capital "R" (indicative of the remote-capable functionality) is prepended to the name of the toolkit component being referenced. The significant difference between the non-network-aware JFC application of FIG. 9(b) and the remote-capable RJFC application of FIG. 9(a) is that the JFC code calls "new" to instantiate a component, whereas the RJFC code makes a remote method invocation to an

RJFCFactory object (as described above) which resides in the client viewer's memory space.

[0062] The distributed user interface in accordance with the invention was compared with the web-based thin-client approach using HTTP/HTML as illustrated in FIG. 1 and the remote frame buffer approach as illustrated in FIG. 2, above. The implementation of HTTP/HTML consumes very little bandwidth because HTML represents a presentation's semantics at an extremely high level. While this causes a relatively small amount of information to be transported, this approach suffers from the problem that HTTP was not designed for implementing remote applications, but rather for sharing static data.

[0063] In contrast, the remote frame buffer approach operates on the premise that compatibility with existing applications is paramount at the expense of network bandwidth. This is because many of the remote frame buffer implementations were designed for corporate or lab network environments whose administrators are trying to move users away from desktop computers to a thin-client subsystem with a lower total cost of ownership.

[0064] The RJFC distributed user interface toolkit in accordance with the invention combines the benefits of both approaches without their performance and usability issues by transmitting the high-level semantics of a display using a standard toolkit API. The network bandwidth consumed by RJFC is closer to that of the web-based approach using HTTP/HTML than that of the remote frame buffer approach, while permitting rich user interaction without artificially introduced latency. TABLE 1 is a

comparison of the bandwidth consumed by RemoteJFC and the AT&T VNC remote frame buffer system. TABLE 1 shows the number of Ethernet packets transmitted over the network by VNC and by the distributed user interface system 100 using the remote-capable user interface toolkit 108 when simple operations were performed in a notepad application similar to that illustrated in FIG. 9(a). In the VNC system, a large number of packets transmitted were due to movement of the mouse by the user. The amount of mouse movement by a novice user may be significantly greater than the movement of a more experienced user. Since the amount of user experience may affect the comparison, both VNC novice and VNC expert data is included in TABLE 1. (Since a web-based method using HTTP/HTML would not be able to provide the same level of user interactivity, this approach was omitted from TABLE 1. It is noted, however, that the Client Connection cost of a web-based "notepad" application is approximately 10 packets.)

Operation	RJFC	VNC Expert	VNC Novice
Client Connection	620	450	450
Load File	85	860	2600
Popup About Dialog	24	70	1500
Close About Dialog	32	85	630
Maximize Window	8	690	1000
Scroll to Bottom of Page	0	420	1700

TABLE 1

[0065] Thin-client systems need some kind of software browser or viewer that must reside in permanent storage on the client computer. Because the web-based

[0066] The size of a typical web browser download is about 25 megabytes, as compared to the VNC viewer which can be about 110 kilobytes. The client viewer 114 lies somewhere in between: the RJFC library adds 2.5 megabytes to the underlying JAVA™ runtime environment, which can vary in size from 30 to 15 megabytes. In addition, the VNC viewer memory image when attached to an 800x600 desktop consumes 1.5 megabytes of RAM, whereas both the web browser and client viewer 114 require approximately ten times that amount. This also results in faster startup times for the VNC viewer than a web browser or the client viewer 114.

**[0068]** It will be understood that the foregoing is only illustrative of the principles of the invention, and that various modifications can be made by those skilled in the art without departing from the scope and spirit of the invention.